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Accelerating Effective Adaptation & Enhancing Access to Climate Finance

Silvia Mancini, Senior Program Officer, AF Secretariat

Adaptation Fund at a Glance



Mandate to fund **concrete adaptation projects with tangible results on the ground**, in most climate-vulnerable developing countries & communities



Pioneer & leader on innovative 'Direct Access' & 'Enhanced Direct Access' modalities



As urgency of climate change has risen, it has grown rapidly to almost **\$1B funding today**



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Mandate – Mission – Strategic Priorities

MANDATE

Finance concrete adaptation projects and programmes that are **country driven** and based on the needs, views and priorities of developing country Parties that are particularly vulnerable to the adverse effects of climate change in meeting the cost of adaptation

MISSION

The Adaptation Fund serves the Paris Agreement by **accelerating effective adaptation action and efficient access to finance, including through direct access**, to respond to the urgent needs and priorities of developing countries. The Fund does so by supporting country-driven adaptation projects and programmes, innovation, and learning with concrete results at the local level that can be scaled up.



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What to keep in mind- General



Concrete adaptation activities in the most vulnerable communities:

visible and tangible impacts at the local level that can be scaled up



No prescribed sectors or approaches:
country-driven process that accommodates countries' priorities and adaptation reasoning



Finance the full cost of adaptation:
No co-financing requirements



50% of portfolio for Direct Access:
Total allocation for projects/programmes submitted by MIEs cannot exceed 50% of cumulative resources



USD 20 million available per country under raised country cap

For single country projects, other grants available outside of country cap



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Support for NAPs implementation

Jumpstarting NAPs implementation through simplified access to grant funding for concrete, localized, and effective adaptation that can be scaled up

The Fund pays particular attention to NAPs & NDCs in its programming processes

According to Fund's [Operational Policies and Guidelines \(OPGs\)](#):

- Projects should be developed in way to take into account NAPs & NDCs, if they exist
- Board shall give particular attention to consistency with NAPs etc. in assessing proposals
- All proposals are screened for consistency with NAPs & NDCs, if they exist

Countries can choose their priority sectors/themes in line with their NAPs & NDCs can accredit relevant NIEs

- Countries are encouraged to make use of the direct access modality, where possible, and accredit NIEs that have capacities to implement projects in priority sectors
- Countries can make strategic choices about the use of AF resources for their priority sectors

Support for learning, innovation and scaling up to support

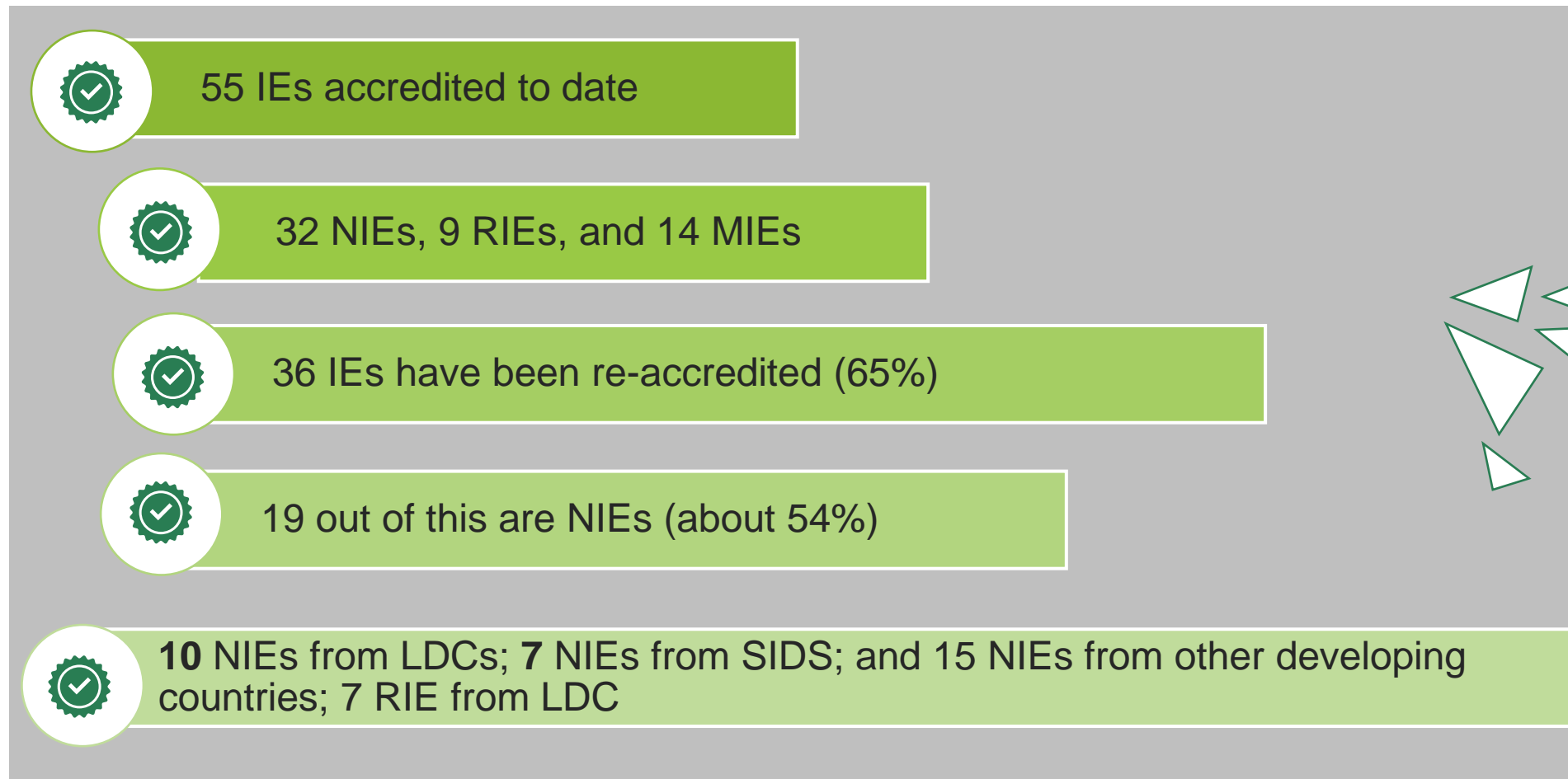
- Identify and prioritize effective adaptation solutions for inclusion in NAPs & NDCs and wider implementation
- Encourage the testing of new and emerging adaptation solutions for inclusion in NAPs & NDCs
- Scaling up of successful adaptation projects with other funders



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Portfolio of accredited Implementing Entities (IEs)



The AF encourages countries to make use of the direct access modality, promotes country ownership and builds national capacities

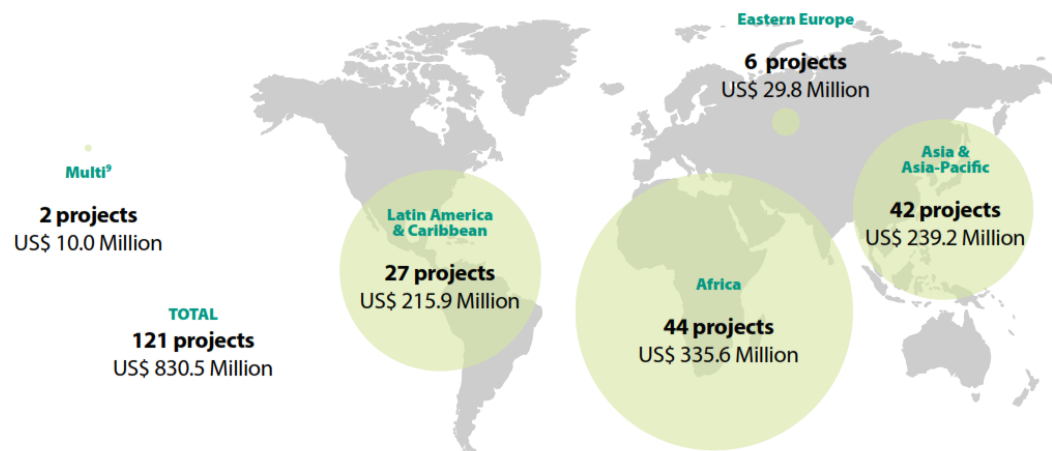


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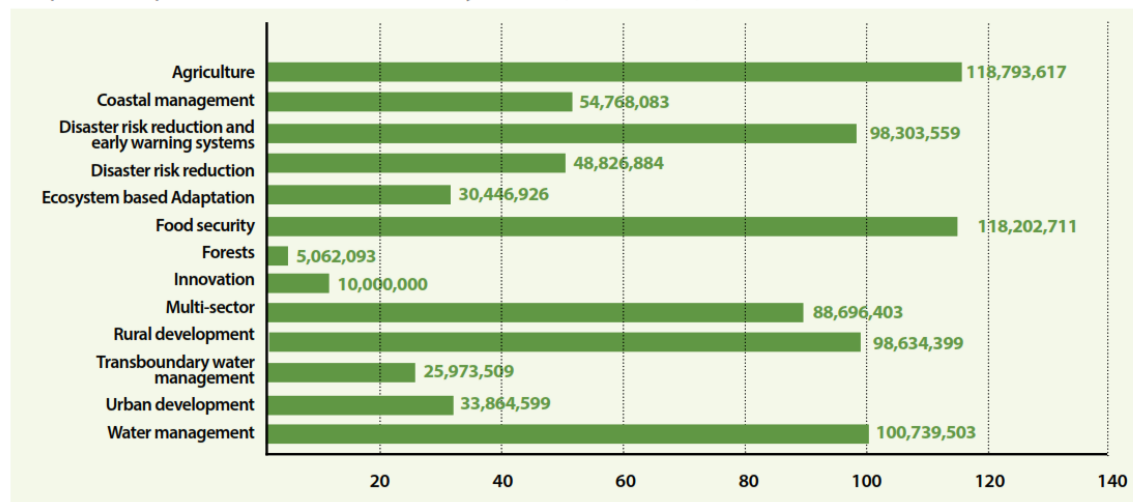


The Adaptation Fund – portfolio in LDCs

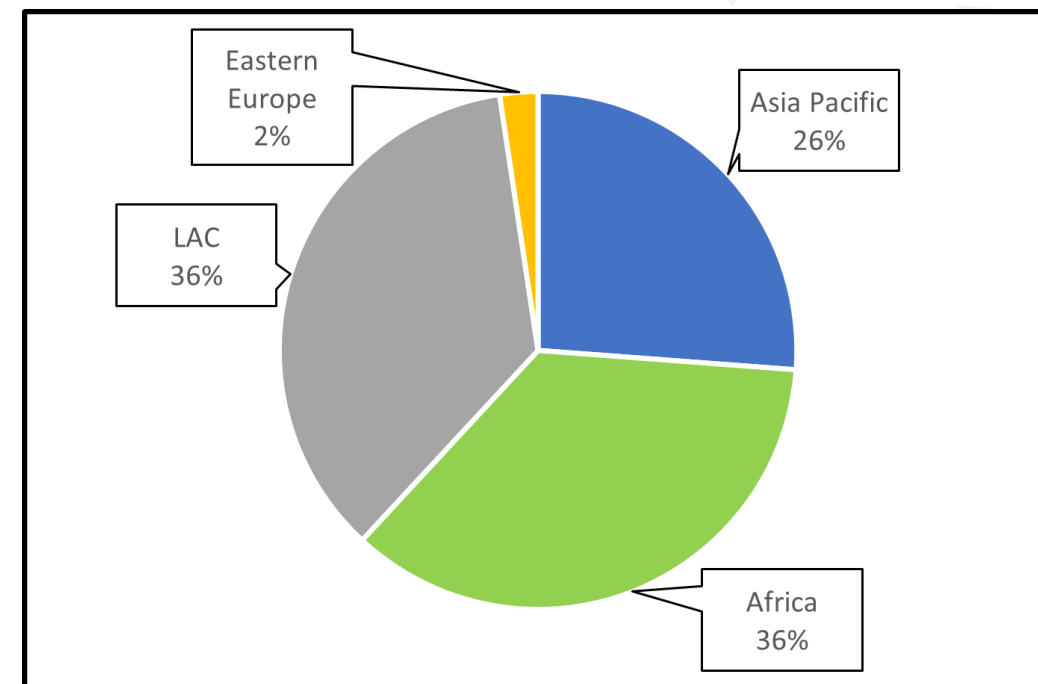
Infographic 1: Total Projects and Grant Amount Approved by Region



Graph 1: Adaptation Fund Investments by Sector (US\$ Million)



National implementing entities by region

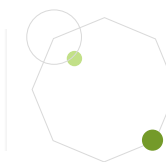


•41 projects in LDCs and 24 in SIDs or 48% of total portfolio (#projects only)





•33% portfolio in LDCs for total \$US304M



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Adaptation Fund Funding Windows

	FUNDING TYPE	Accredited Entity Type	Maximum Funding Amount per Project/Program	How to Apply	Learning Resources
 <p>ACTION</p> <p>Action grants support eligible countries to undertake high quality adaptation projects/programmes consistent with their priority needs, goals and strategies.</p>	Single Country: For addressing climate change impacts in one country through tangible outcomes	NIE, RIE, MIE	USD 10 Million per Project/Programme*	Apply here for grant funding or technical assistance	E-course: Direct Access: Unlocking Adaptation Funding & Community of Practice for Direct Access Entities
	Regional: For addressing climate change impacts in 2+ countries in the same United Nations region, or adjacent regions, through tangible outcomes	RIE, MIE	USD 14 Million per Project/Programme (excluding the PFG)**	Apply here for grant funding or technical assistance	E-course: Direct Access: Unlocking Adaptation Funding
	Enhanced Direct Access: Supports bottom-up approaches through local knowledge and locally led action. Project selection occurs at national/sub-national levels.	NIE	USD 5 Million per Project/Programme (including the PFG)	Apply here for grant funding or technical assistance	EDA guidance document
	Project Scale Up: Supports planning, design and overall capacity to develop scale-up pathways for AF funded projects nearing completion or already completed	NIE	USD 100,000 per Project/Programme	Apply here	E-training: Scale-Up Grants
 <p>INNOVATION</p> <p>Innovation grants support the development and diffusion of innovative adaptation practices, tools and technologies.</p>	Small (single country): To accelerate development of innovative practices, tools and technologies and demonstrate best practices for scale-up	NIE	USD 250,000 per Project/Programme	Apply here	E-training: Innovation Small Grants
	Large (single country or regional): To roll out or scale-up successful innovative practices, tools and technologies to a new country or at regional scale, involving 2+ countries/regions	NIE, MIE, RIE***	USD 5 Million per Project/Programme	Apply here	Innovation large grants guidance documents available here
	Adaptation Fund Climate Innovation Accelerator: Administered by UNDP & UNEP/CTCN to accelerate the development of innovative practices, tools and technologies and demonstrate best practices for scale-up	Non-accredited****	USD 250,000 per Project/Programme	Apply here for grant funding	UNDP UNEP/CTCN
 <p>LEARNING & SHARING</p>	Learning Grants: support the generation and dissemination of practical knowledge about effective adaptation activities and financing modalities to actors around the world	NIE	USD 150,000 per Project/Programme	Apply here for grant funding	E-training: Learning Grants
 <p>READINESS</p> <p>Readiness grants enable NIEs to provide peer support to countries seeking accreditation with the Fund and build capacity for undertaking various climate finance readiness activities.</p>	Readiness Support Package Grant: Facilitate the delivery of more enhanced, targeted and tailored readiness support for accreditation to developing countries	NIE	USD 150,000 per NIE	Apply here	Website: Readiness
	Technical Assistance Grant for the Environmental and Social Policy and Gender Policy: For NIEs to strengthen capacity to address and environmental and social risks as well as gender related issues in their projects and programs	NIE	USD 25,000 per NIE	Apply here	E-course: Direct Access: Unlocking Adaptation Funding
	Technical Assistance Grant for the Gender Policy: For NIEs with robust environmental and social policies to enhance measures to avoid, minimize and/or mitigate adverse gender impacts	NIE	USD 10,000 per NIE	Apply here	E-course: Direct Access: Unlocking Adaptation Funding

Notes:

*NIEs applying for single country projects through 2-step submission process (concept note; fully-developed proposal) with concept note can request a [Project Formulation Grant](#) (PFG) up to USD 50,000 in addition to the USD 10 million grant.

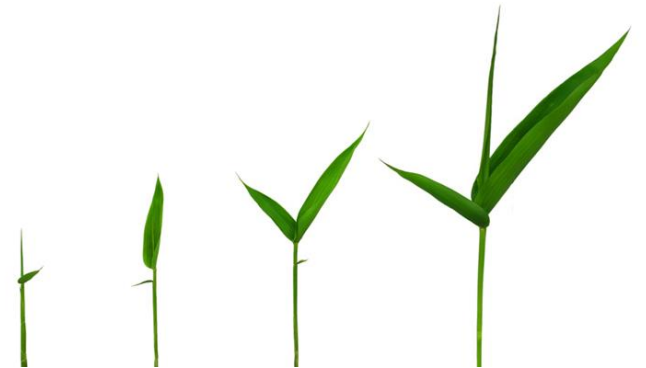
**RIEs and MIEs applying for regional projects (2+ countries) through the 3-step submission process (pre-concept note; concept note; fully-developed proposal) can request a PFG up to USD 20,000 with a pre-concept note and with a concept note a PFG up to USD 80,000. Those using the 2-step submission process (concept note; fully-developed proposal) can ask for PFG up to USD 100,000 with the concept note.

***For NIEs submitting proposals for large innovation grants, there is an opportunity to request a PFG of up to USD 50,000 per project. For a project for which PFG funding is sought, the total amount of funding inclusive of PFG cannot exceed USD 5 million. RIEs and MIEs submitting concept notes for large innovation grants for regional projects can request a PFG of up to USD 30,000. All IEs can apply to single country innovation large grants and MIE and RIEs only can apply to regional innovation large grants.

****Open to wide range of applicants, including local governments, NGOs, young entrepreneurs, the private sector and others.

Complementarity with other funders & scaling up of successful projects

- AF works actively with other climate funds (GEF, GCF, CIFs) to offer fast track accreditation & facilitate the scaling up of AF projects
- AF facilitates the scaling up of projects e.g. through AF-GCF scale up framework
- Dedicated support through project scale up grants



Promoting locally led adaptation

Refers to modalities for **devolving decision making** to the lowest appropriate level:

“Giving local institutions and communities more direct access to finance and decision-making power over how adaptation actions are defined, prioritized, designed, implemented; how progress is monitored; and how success is evaluated.”

(Source: Global Commission on Adaptation, 2021)

- **AF supports “Enhanced Direct Access”**
- **AF endorsed Principles for Locally Led Adaptation Action**
- AF Board will make more funding available for LLA



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Thank you!



www.adaptation-fund.org



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