

# Sparing vs. Sharing: Addressing drivers of deforestation and forest degradation

8 June 2011, Bonn

Reflections on current evidence on the “sharing” hypothesis, global (e.g. wildlife farming) and meso level evidence from multifunctional land use research in ICRAF / RUPES / PRESA landscapes - *Meine van Noordwijk*



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# Sparing *versus* Sharing

- Agricultural intensification

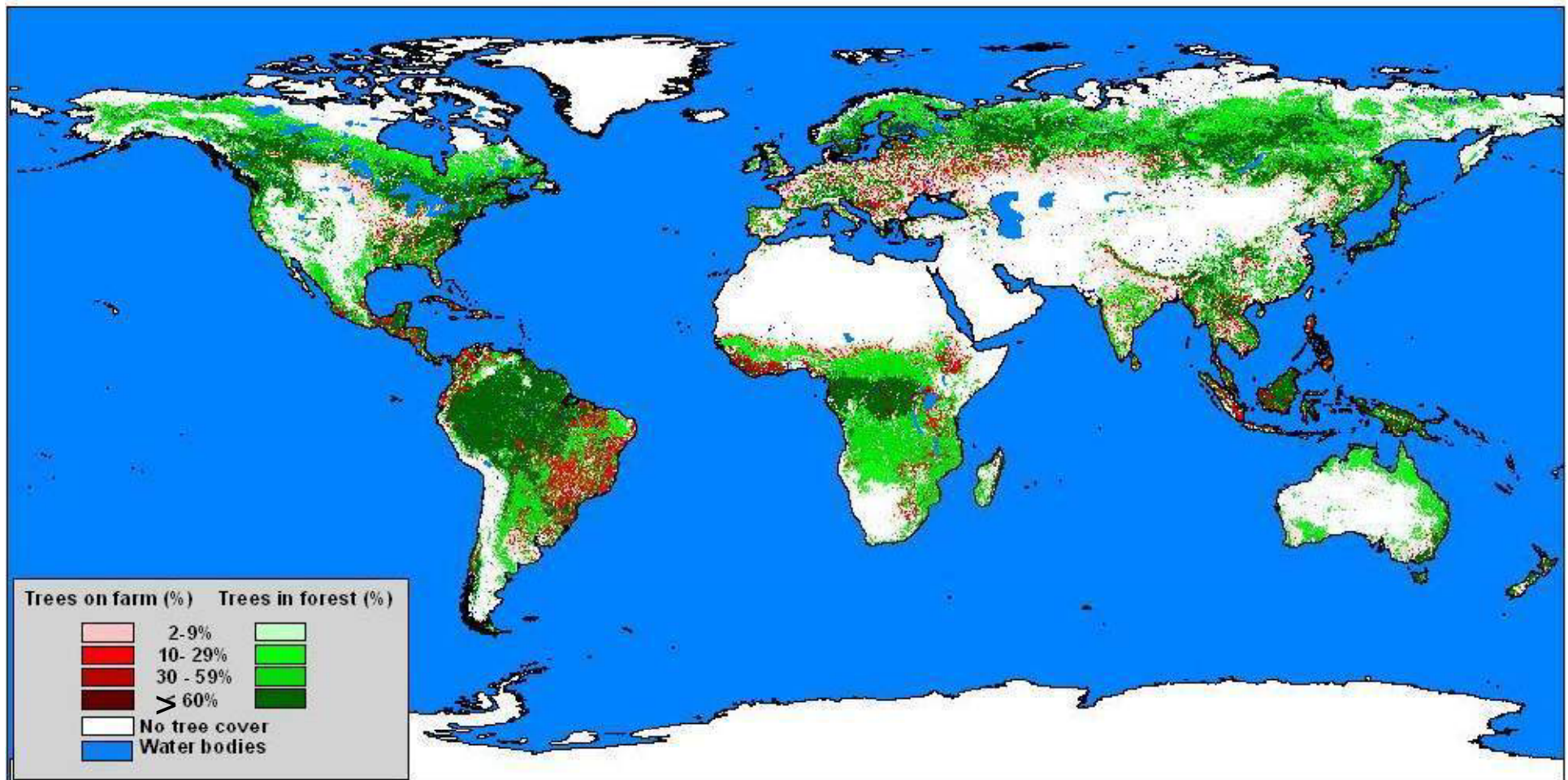
*Input- or output-based definition*

- Forest

*Many definitions & concepts*

- Multifunctionality & associated incentive systems

*Trade-offs between specific functions*



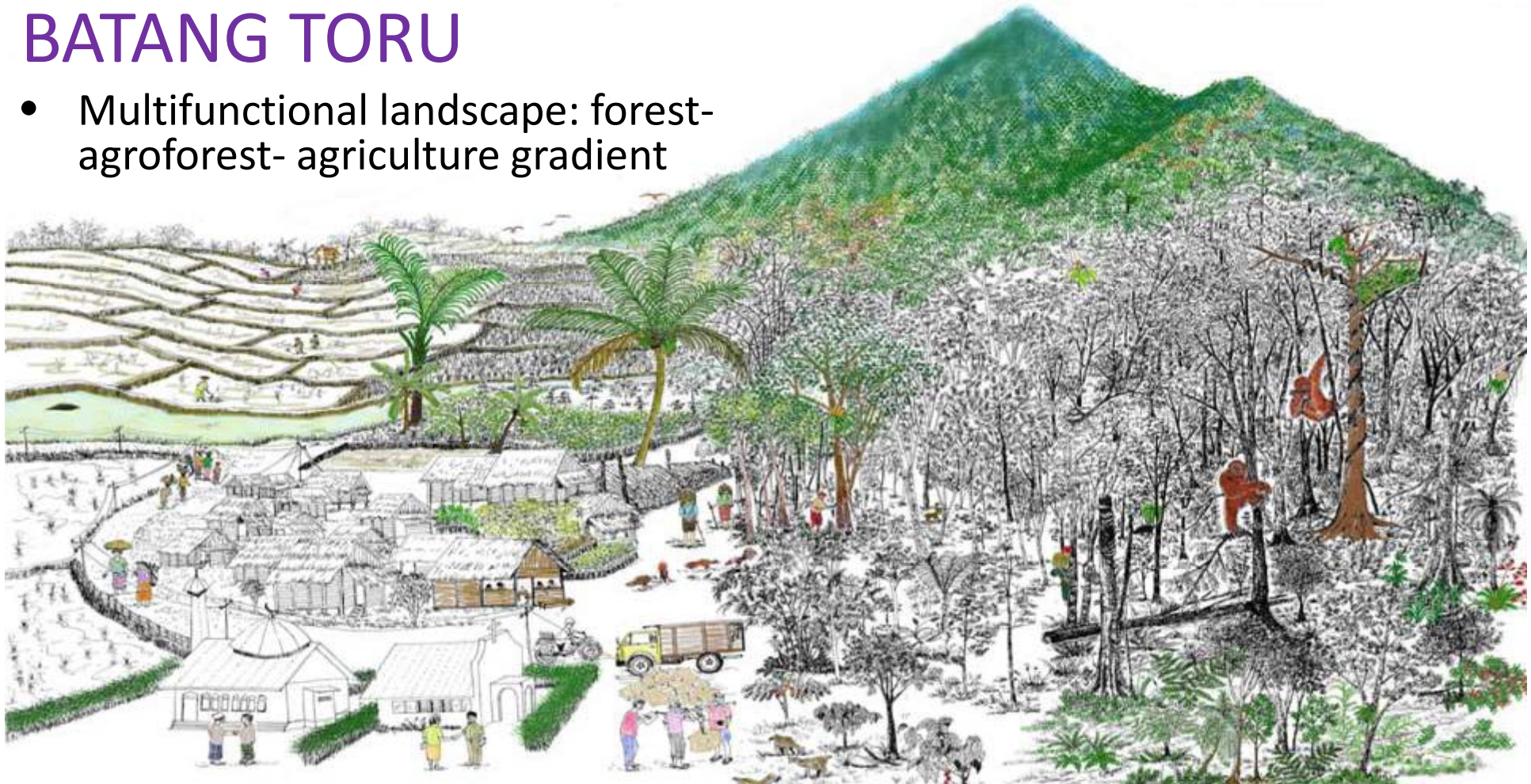
## The holistic forest+tree view of the world

Source: Global tree cover inside and outside forest, according to the Global Land Cover 2000 dataset, the FAO spatial data on farms versus forest, and the analysis by Zomer et al. (2009)



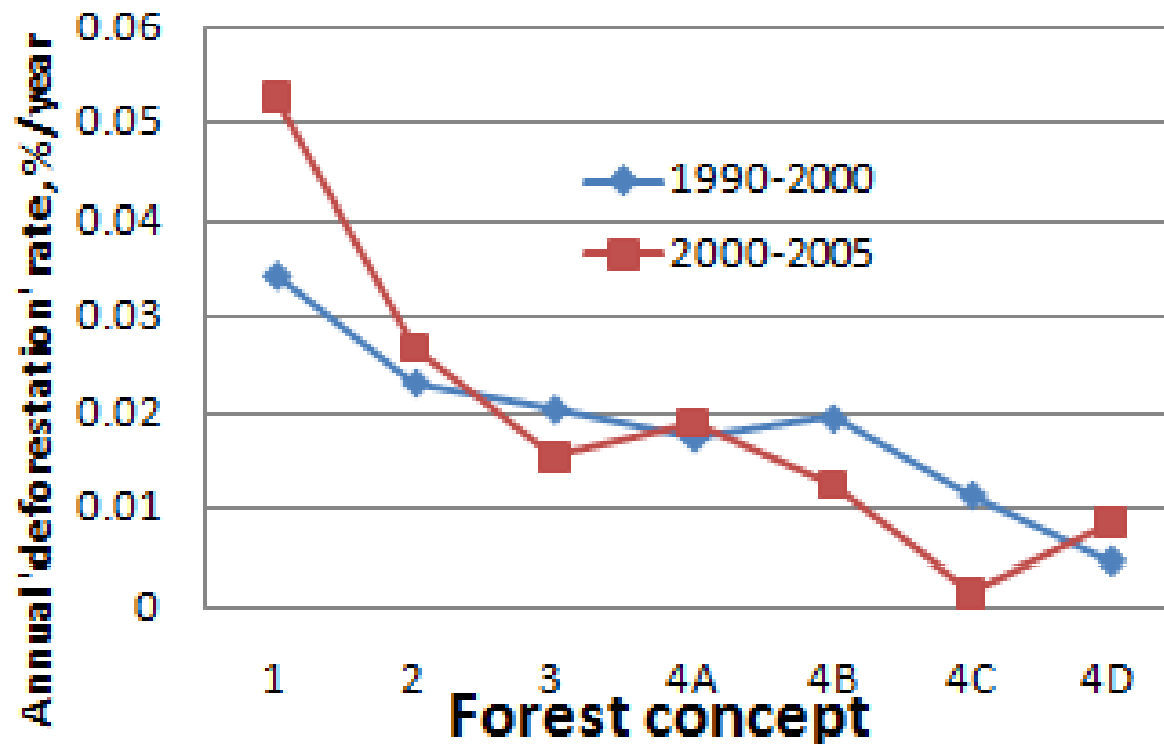
# BATANG TORU

- Multifunctional landscape: forest-agroforest- agriculture gradient





## Indonesia's deforestation rate ~ forest definition

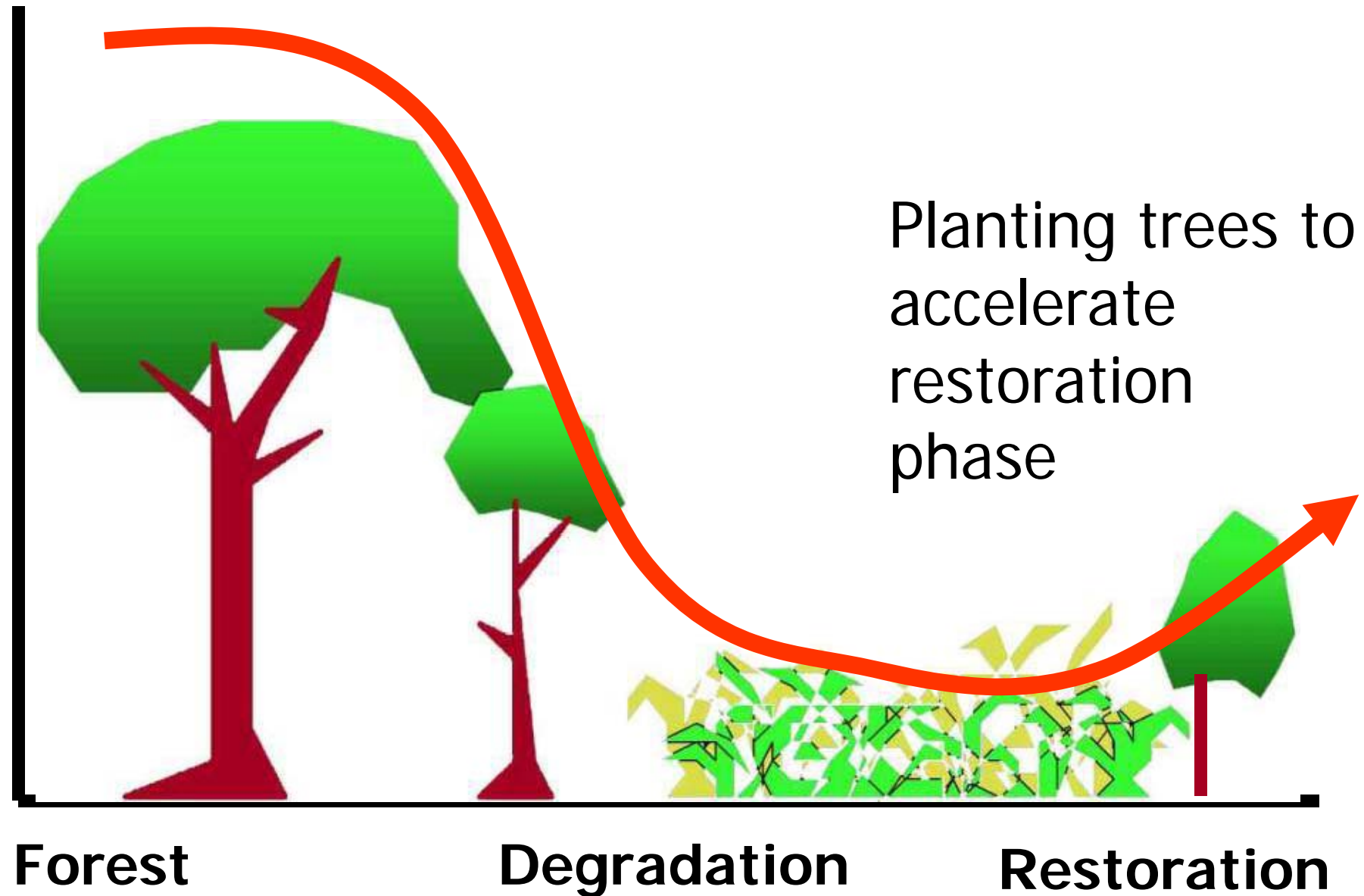


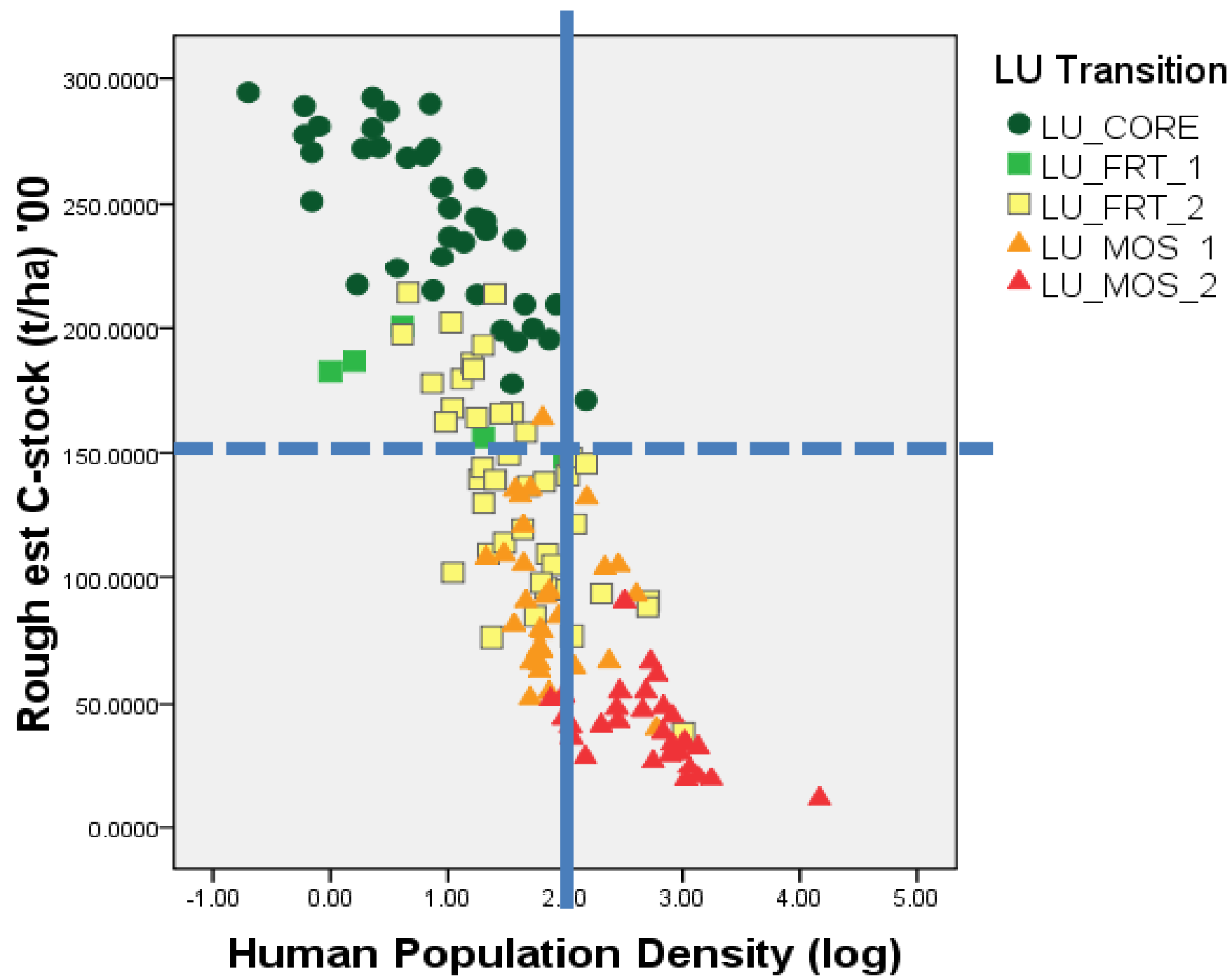
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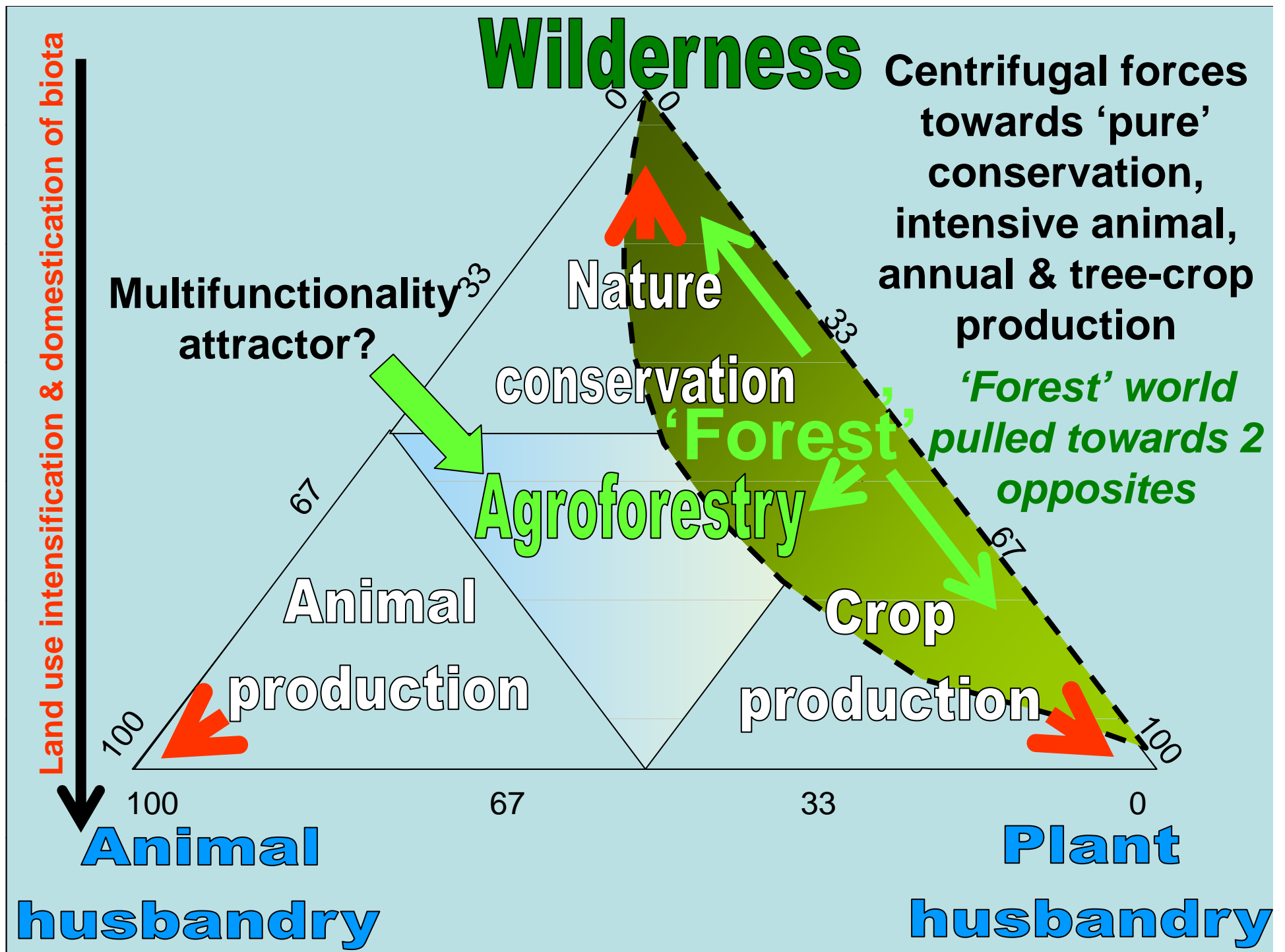
Stakeholder:

- 1. Undisturbed natural forest ← Rainforest foundation
- 2. Undisturbed + sust. logged natural forest ← Conservation agency
- 3. Closed canopy undisturbed + logged forest
- 4A. as 3 + agroforest ← Forest ecologist
- 4B. as 3 + timber plantations ← Ministry of Forestry
- 4C. as 3 + agroforest + timber plant's + estate crops ← UNFCCC definition
- 4D as 4C + shrub ← Modis data

# Land use change in the tropics







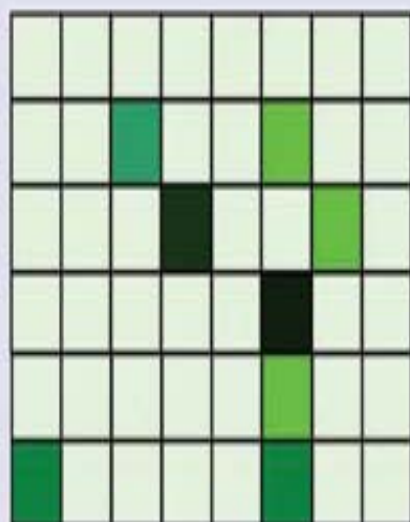


# Should agricultural policies encourage land sparing or wildlife-friendly farming?

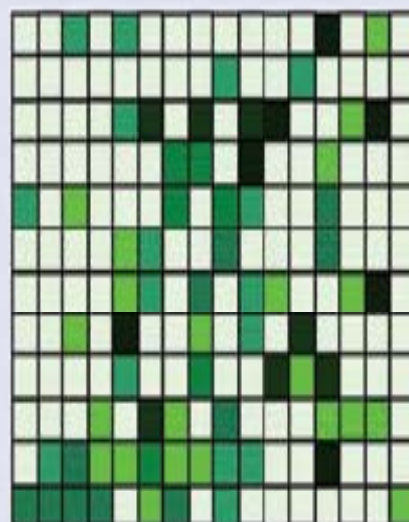
Joern Fischer<sup>1\*</sup>, Berry Brosi<sup>2</sup>, Gretchen C Daily<sup>2</sup>, Paul R Ehrlich<sup>2</sup>, Rebecca Goldman<sup>2</sup>, Joshua Goldstein<sup>2</sup>, David B Lindenmayer<sup>1</sup>, Adrian D Manning<sup>1</sup>, Harold A Mooney<sup>2</sup>, Liba Pejchar<sup>2</sup>, Jai Ranganathan<sup>2</sup>, and Heather Tallis<sup>2</sup>

## In a nutshell:

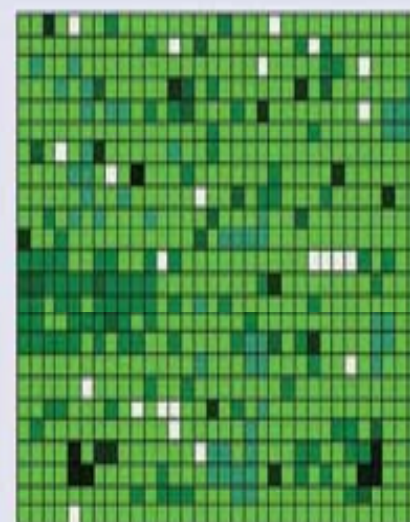
- “Land sparing” and “wildlife-friendly farming” are hotly debated as two alternative approaches to simultaneously promote biodiversity conservation and agricultural production
- The two approaches are related to different scientific world views, and have unique advantages and disadvantages
- Social factors and biophysical properties of landscapes strongly influence which agricultural policies are possible
- Recognizing these constraints, we outline policy guidelines that draw on key strengths of both land sparing and wildlife-friendly farming



(eg Western Australia)



(eg northern Europe)



(eg Coto Brus, Costa Rica)

Coarse grain and abrupt change  
("Land sparing")

Fine grain and spatial continuity  
("Wildlife-friendly farming")

### Underlying scientific traditions

Binary landscape ("island model")

Optimization for equilibrium

Species treated as additive

Nature and agriculture treated as separate

Humans considered separate from nature

vs Continuous and heterogeneous landscape

vs Maintenance of resilience

vs Species interactions of major interest

vs Externalities and ecosystem services considered

vs Humans considered part of nature

# Agricultural intensification hypothesis

Remote forest edge communities & Planet earth are closed systems, in between we have 'open' systems...

More intensive agriculture at forest margins can save forest at equal total agricultural production

This may be true in 'closed' economies

Or... speed up forest conversion to profitable agriculture

This is true in 'open' economies

## Minimising the harm to biodiversity of producing more food globally

Ben Phalan<sup>a,b,\*</sup>, Andrew Balmford<sup>a</sup>, Rhys E. Green<sup>a,b</sup>, Jörn P.W. Scharlemann<sup>c</sup>



Initial land uses



Land-sparing

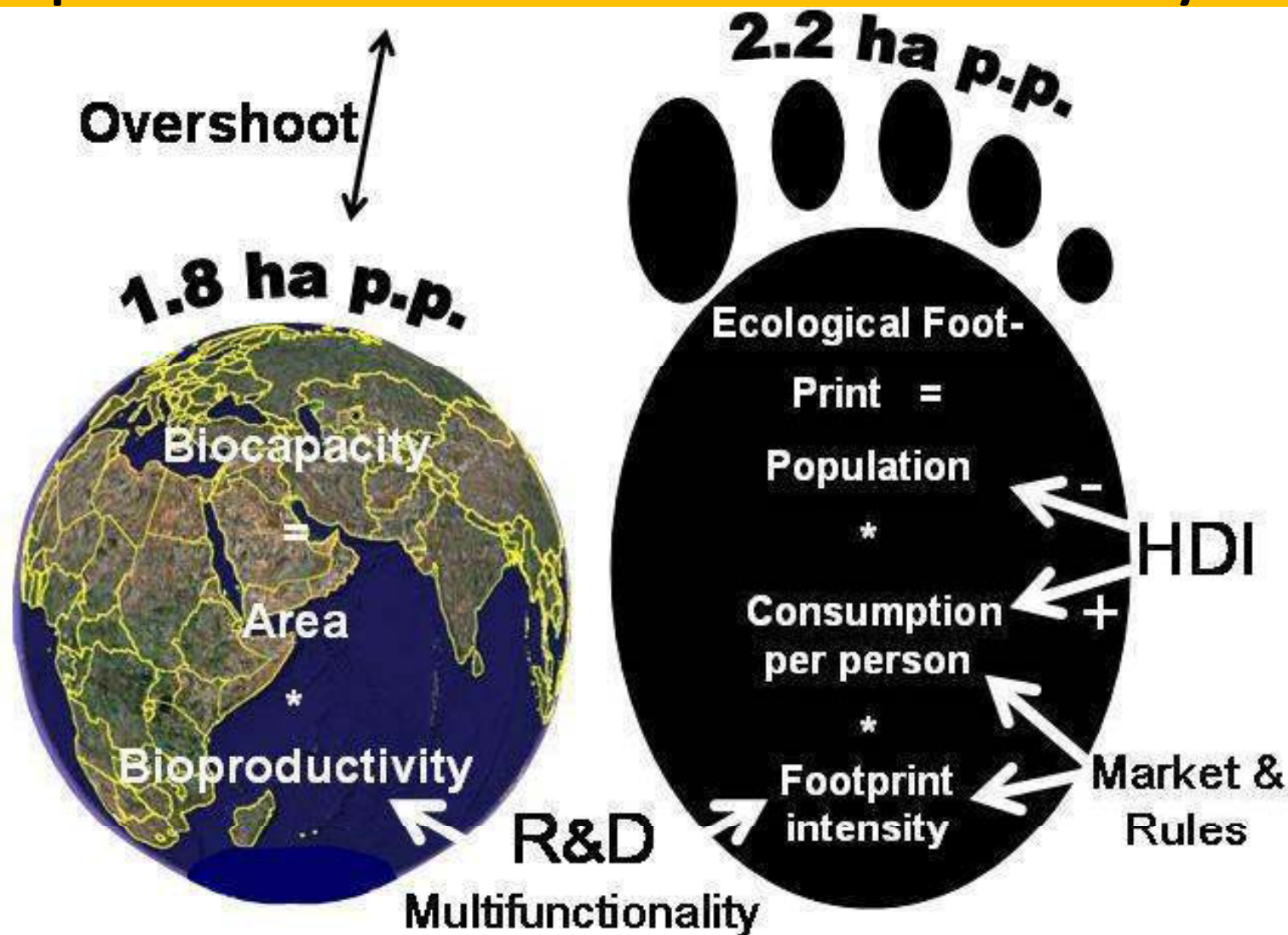


Wildlife-friendly farming

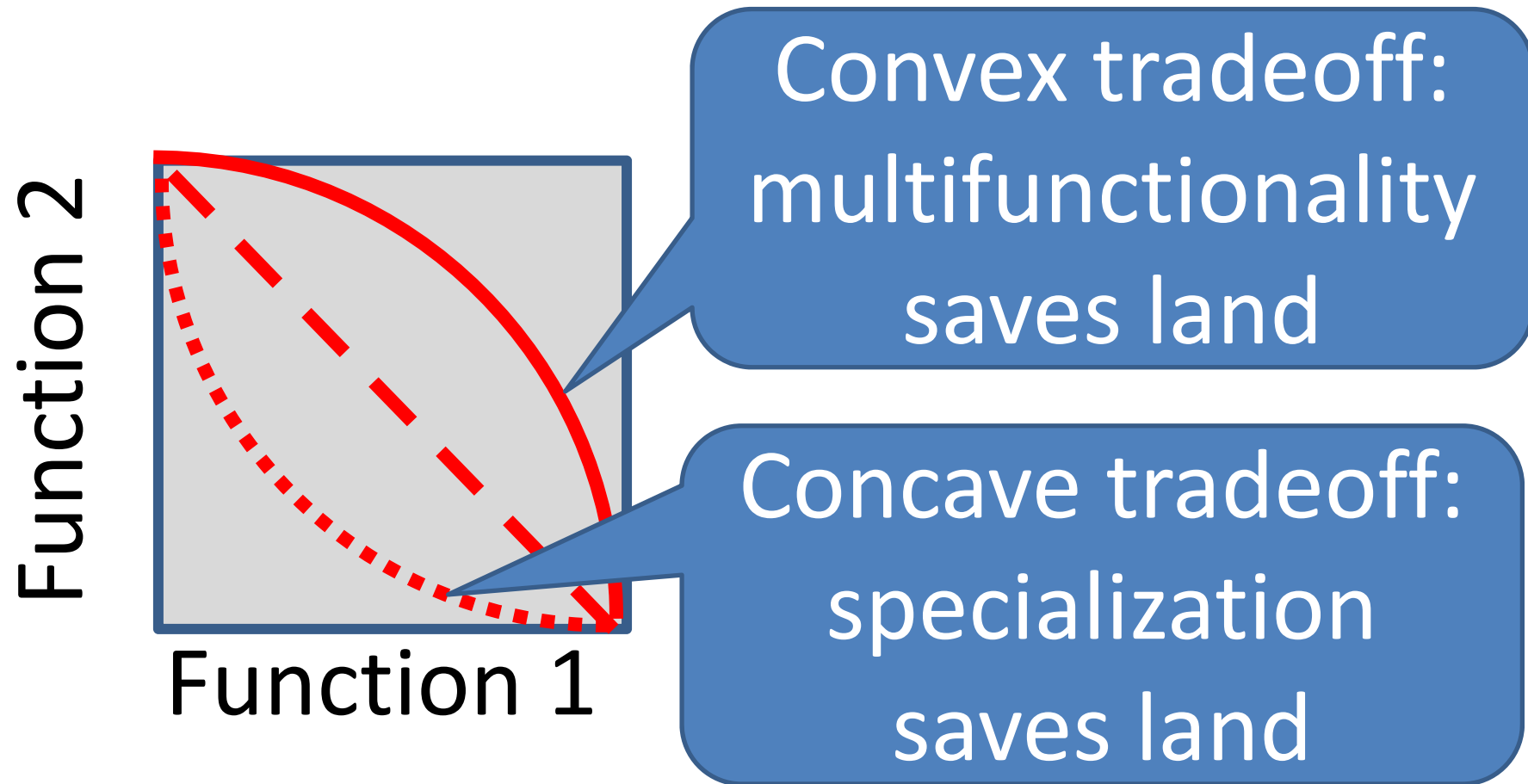
Sustainable logging  
has proved to be a  
fiction in Indonesia  
→ Intensive  
plantations as  
alternative



# Sharing – argument 1: there isn't enough space without multifunctionality

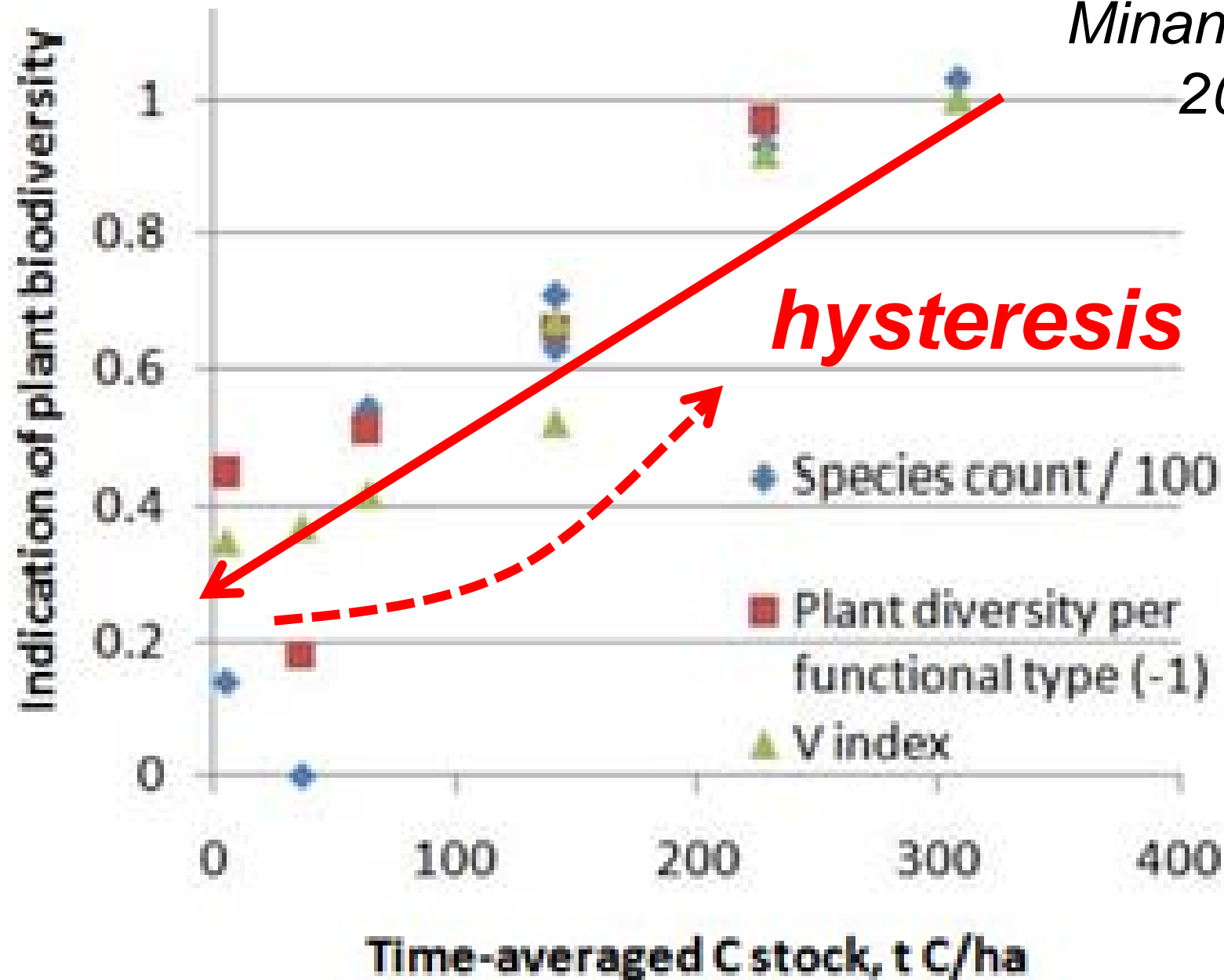


## Sharing – argument 2: Many tradeoff functions are convex: multifunctionality



Many examples in agroforestry literature

ASB-data:  
Minang et al.,  
2011

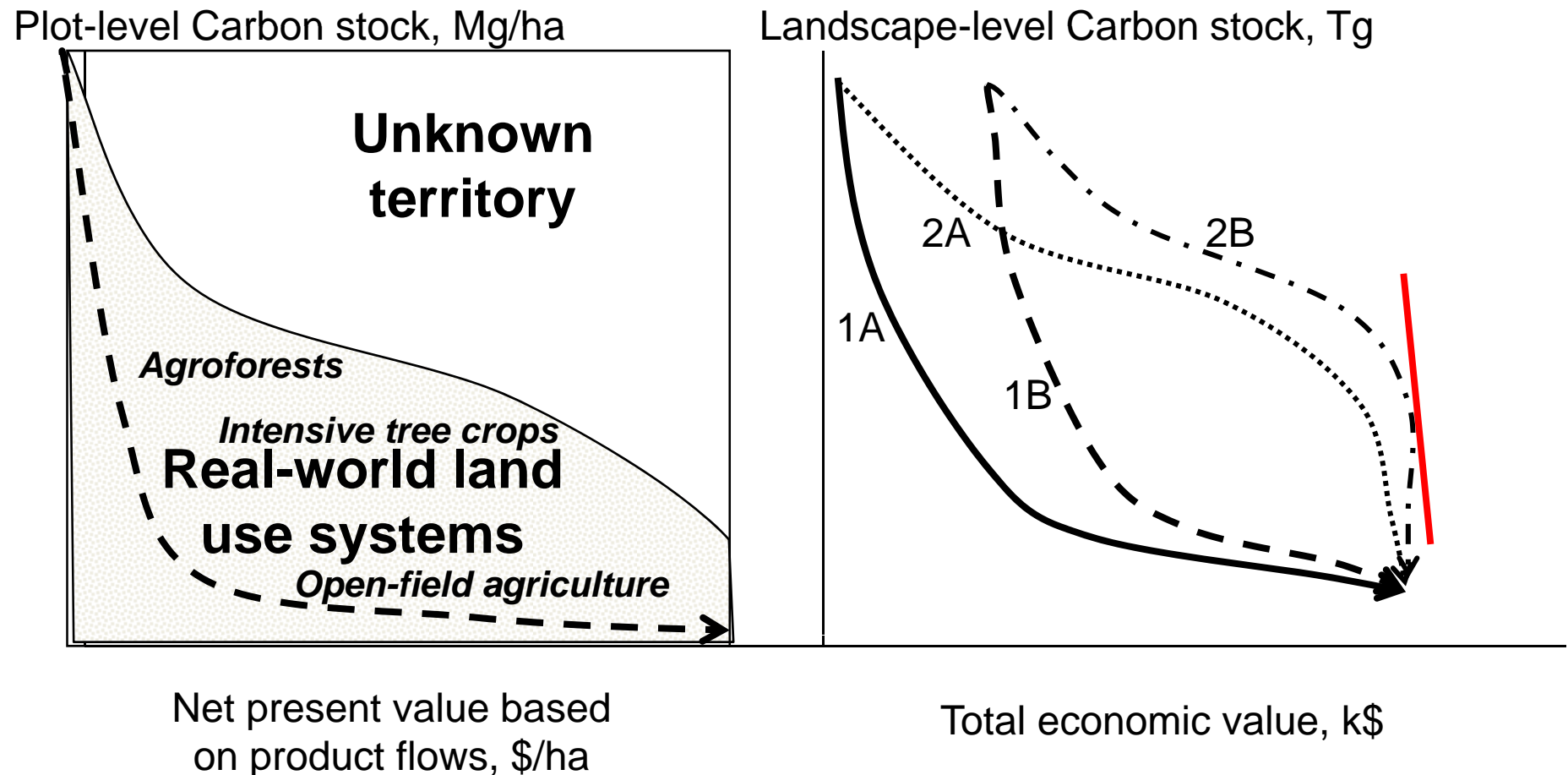


Synergies between functions

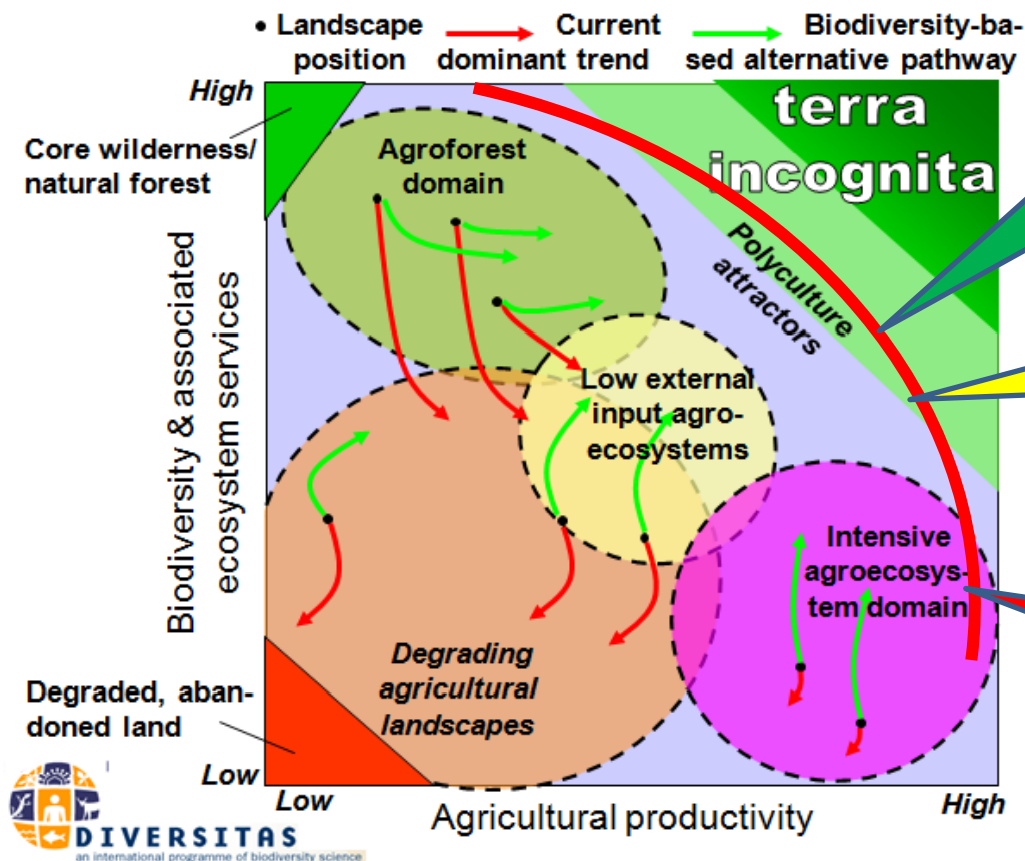
	$P_{\text{crop}}$	$P_{\text{tree}}$	$C_{\text{store}}$	$W_{\text{sh}}$	$B_{\text{iod}}$	Land
Crop production			Concave likely			
Tree production				No preference		
Carbon storage				Convex likely		
Watershed services						
Biodiversity						
Landscape beauty						



# Sharing – argument 3: Multifunctionality requires balanced economic incentives



# Sustainable Weighting of Economy-Ecology Tradeoffs: Organized Reduction or Stretching Our Use of Resources? (SWEETorSOUR?)



This may be societal optimum, but requires SWEET

Production Possibility Frontier

Getting here may turn SOUR

# ACTORS IN THE LANDSCAPE & LIVELIHOOD ASSETS



van Noordwijk and Leimona (2010) Ecology and Society



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# Pro-poor rewards for environmental services

## Context

*Asia and Africa network of learning sites*

### Realistic:

Assessment of impacts of  $\Delta$  Land Use on  $\Delta$ ES

### Pro-poor:

Assessment of multiple dimensions of poverty

## + Mechanism $\rightarrow$ Outcome

### Conditional:

performance-based contracts

**Voluntary:** process of negotiations

## & Impact

- Per capita financial transfers remain small but with **tenorial security**
- Reduced conflict over resource access  $\Rightarrow$  **more options**; less poverty
- Co-investment in steward-ship, rather than 'PES'

R&D efforts to reduce transaction costs, enhance and balance fairness + efficiency;

Mainstreaming into Development Planning





# Three paradigms within PES

Paradigm (van Noordwijk & Leimona, 2010)	<b>CES :</b> commoditization of ES, e.g. C markets	<b>COS :</b> compensating or opportunities skipped, e.g. public fund allocations	<b>CIS :</b> coinvestment in stewardship, risk & benefit sharing
Condition	Requires A + B	Requires B + C (A helps as well)	Requires C
A. Spatial & conceptual ES boundaries clear?	<b>Yes</b> (national AFOLU) <b>No</b> (subnational REDD) <b>No</b> (local: plot&tree)		
B. All rightholders identified & in agreement	<b>Yes</b> (national constitution, UNFCCC rules) <b>Yes?</b> (subnat./sectors) <b>No</b> (local: plot&tree)	<b>Yes</b> (national constitution, UNFCCC rules) <b>Yes?</b> (subnat./sectors) <b>No</b> (local: plot&tree)	
C. All stakeholders engage in adaptive learning		<b>Yes?</b> With nested MRV <b>Yes?</b> With nested MRV <b>Yes?</b> Possible locally	<b>Yes?</b> With nested MRV <b>Yes?</b> With nested MRV <b>Yes?</b> Possible locally
<b>Conclusion</b>	National scale only	Subnational scale	Local plot&tree scale



# Sharing – argument 4: Removal of perverse policies helps multifunctionality

**Understanding forest transition in the Philippines: main farm-level factors influencing smallholder's capacity and intention to plant native timber trees**

**Fernando Santos Martín • Manuel Bertomeu •  
Meine van Noordwijk • Rafael Navarro**

The Australian Journal of

**Agricultural and  
Resource Economics**

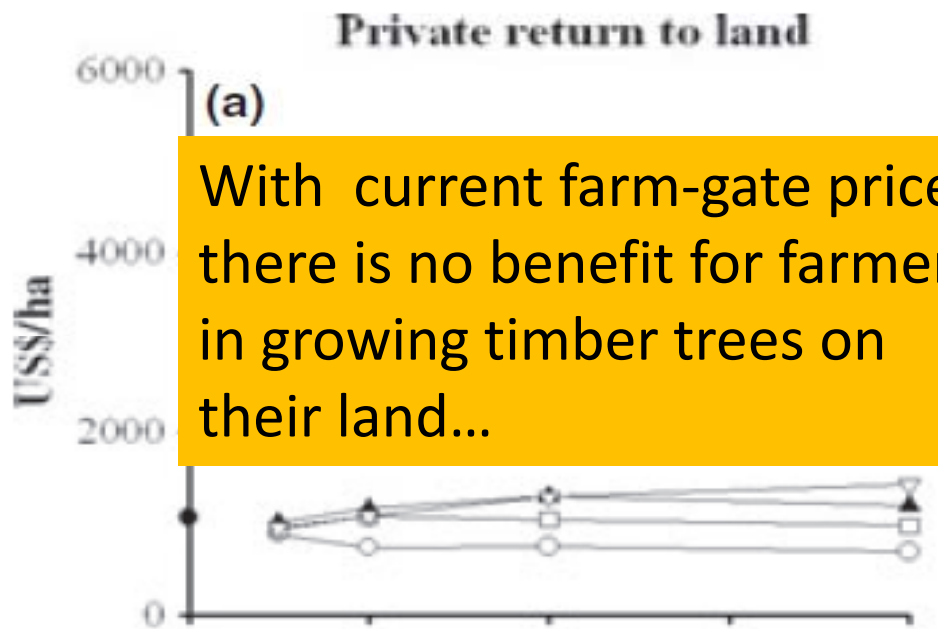


Journal of the Australian  
Agricultural and Resource  
Economics Society

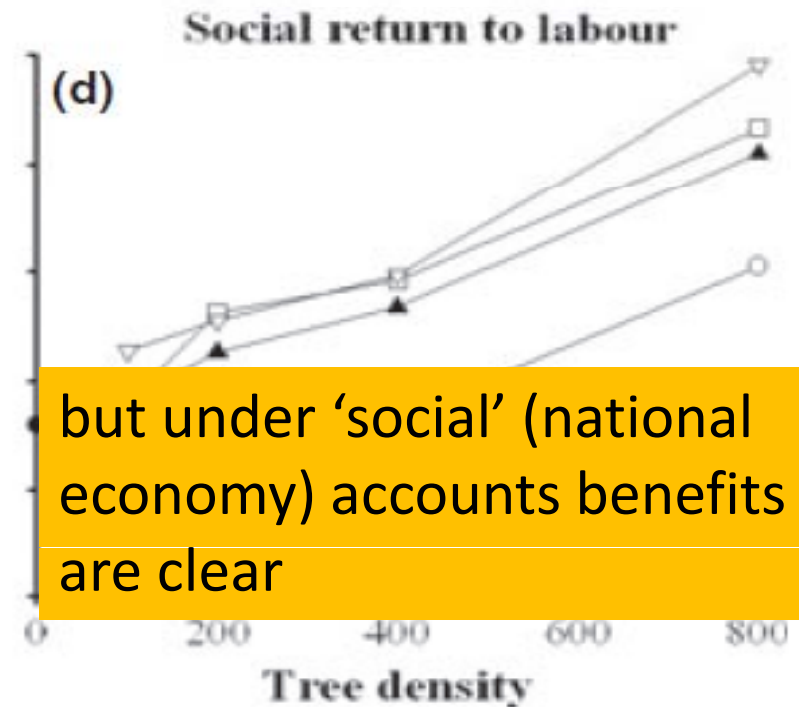
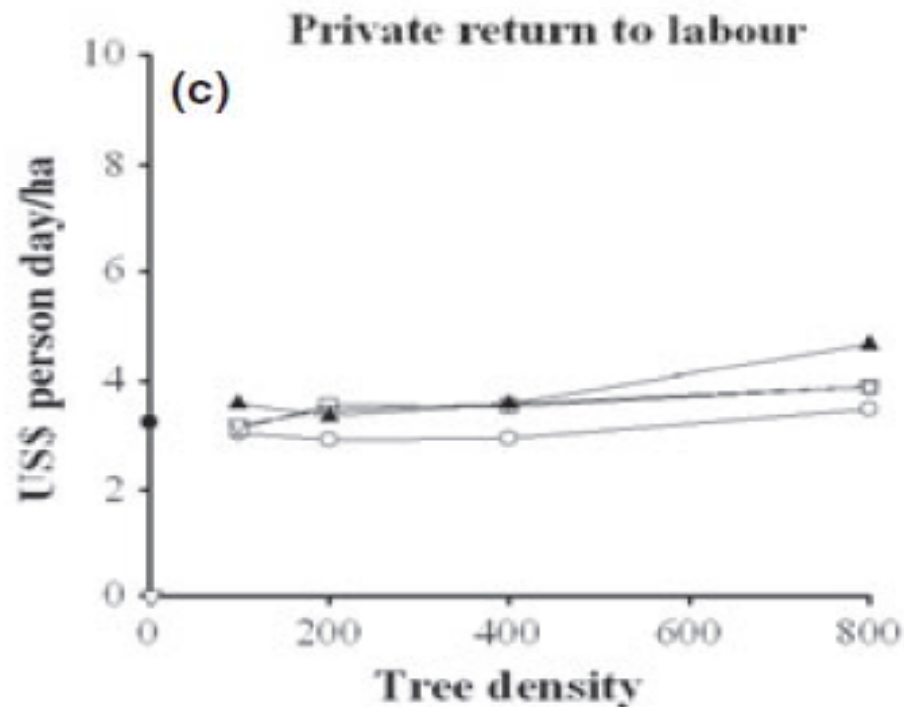
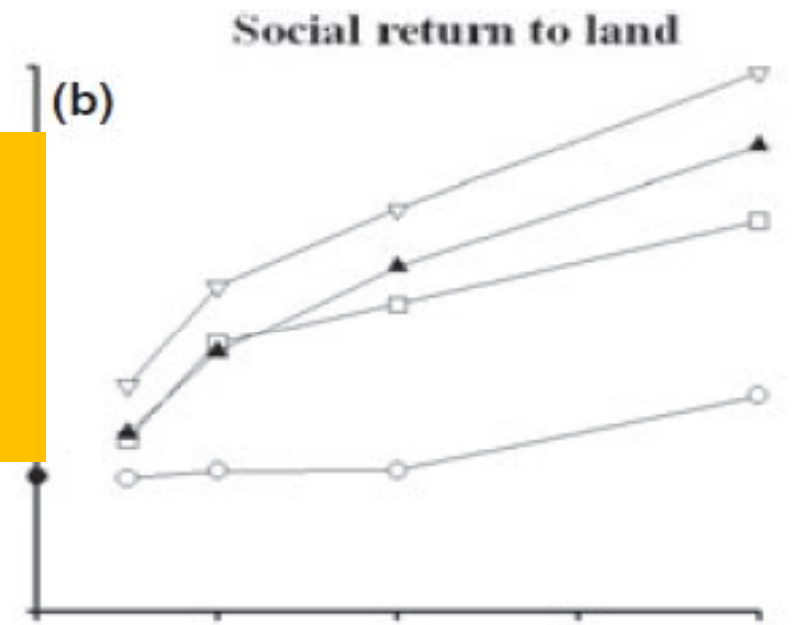
*The Australian Journal of Agricultural and Resource Economics*, 55, pp. 257–272

**Is native timber tree intercropping an economically feasible alternative for smallholder farmers in the Philippines?**

Fernando Santos Martin and Meine van Noordwijk<sup>†</sup>



With current farm-gate prices there is no benefit for farmers in growing timber trees on their land...



but under 'social' (national economy) accounts benefits are clear

# Sparing + Sharing + Caring

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*Input- or output-based definition*

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**Coinvestment  
& incentives**